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#### **Original Article**

#### Tumor–Stroma Ratio in ER+/HER2– Breast Cancer: Is it a Tool for Treatment Decision?

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#### Abstract

**Purpose:** The primary aim of this study is to determine the relationship between tumor–stroma ratio (TSR) and traditional prognostic factors in luminal early breast cancer in women treated at the medical oncology department of the military hospital of Rabat in Morocco.

**Methods:** A retrospective study was performed on primary invasive ER+/HER2– breast cancer in the period from January 1st, 2019 to December 31st, 2019. Prognostic factors included age, tumour size, lymph nodes status, Scarff–Bloom–Richardson grading, lymphovascular invasion (LVI), Ki67 and the stage of the disease. The type of Adjuvant systemic therapy was also reported .Two independent pathologists have assessed TSR by microscopic evaluation of haematoxylin and eosin tumor slides .Patients with less than 50% stroma were classified as low–stroma, the others are classified as high–stroma. **Results:** Of 53 ER+/HER2– operable breast cancer, 41.5% patients had low–stroma and 58.5% patients had high stroma–tumour. High stroma was significantly associated with more stage III (p=0.041), more LVI (0.034), high Ki–67 (p=0.002) and more luminal B disease (p=0.001). Also, high stroma received more adjuvant chemotherapy (p=0.005). The results are maintained in univariate analysis

**Conclusions:** Data suggest that TSR can be used to guide decisions on adjuvant systemic therapy for ER+/HER2– breast cancer. The integration in routine of this simple and reproducible parameter requires a homogenization of the techniques as well as a prospective validation.

**Keywords:** breast cancer, luminal, tumor–stroma ratio, decision–support tool

#### Introduction

Breast cancer, in women, is the most commonly diagnosed cancer in the vast majority regions worldwide<sup>[1].</sup> It is also the most frequent cause of death from cancer in eleven regions of the world [1]. Nearly 90% of women will be diagnosed as having early-stage disease-cancer that is confined to the breast or extends locally into the axillary lymph nodes for which surgery is the only curative treatment. However, almost 30% of women with cancer confined to the breast and 75% of women with nodal involvement will ultimately have a recurrence<sup>[2].</sup> This fact suggests the presence of micrometastases, considered to be clinically occult tumors lingering after surgery, endowed a potential to metastasize and increase both morbidity and mortality. Because of this, an adjuvant systemic treatment is given to reduce the risk of relapse. The treatment may include cytotoxic chemotherapy, endocrine therapy or target

therapy according to the molecular subtype.

Breast tumors are very heterogeneous and can be classified into three main groups based on their molecular profile: luminal cancers that express estrogen and/or progesterone receptors; HER2–positive cancers that express the tyrosine kinase receptor ERBB2; and triple negative (TN) cancers in which none of these receptors

**Corresponding Author:** Choukri ELMHADI, médical oncologist, Department of Medical Oncology, Mohammed V Military Teaching Hospitalhayriad, Rabat, Morocco. Zip code 10100, Telephone 00212613144918, Email address : **dr.choukrielmhadi@gmail.com**  are detected <sup>[3].</sup> Luminal breast account for 70 to 80% of all breast cancers. It includes a heterogeneous population of tumors, differing by their clinical course, histopathological aspects, phenotypes and molecular features <sup>[3].</sup> Recently, therapeutic de–escalation by sparing chemotherapy is the trend in patients with luminal breast. In addition, 50% of these tumors are associated with a very good prognosis (so–called luminal A tumors with regard to the intrinsic molecular classification). Only 20% of luminal tumors display a poor clinical outcome (so–called luminal B tumors). The remaining tumors match to intermediate lesions that are difficult to classify. As a result, luminal A tumors are best treated by endocrine therapy, while additional adjuvant chemotherapy will be proposed to patients with luminal B breast cancer <sup>[3].</sup>

Traditional prognostic factors such as tumor size, lymph node involvement, histological grade, patients' age, expression of estrogen receptors (ER) and progesterone receptors (PR) and human epidermal growth factor receptor 2 (HER2 or c–erbB2) status, continue to be of importance to guide the choice of adjuvant treatments, but may have limitations for an individualized approach for treatment [4]. A report from the St Gallen International Expert Consensus recommends the use of proliferation markers (eg, Ki67 index and mitosis) and multigene assays when choosing the appropriate systemic treatment <sup>[5].</sup>

New and sophisticated genomic tools (such as OncotypeDx® and Mammaprint®) are henceforth available and may improve ability to select patients <sup>[6]</sup>. Nonetheless, their routine use in developing countries is limited by their cost.

Tumourstroma includes several cell types like myoepithelial cells, fibroblasts, endothelial cells, inflammatory cells, and bone–marrow–derived .These cells variety interact with the extracellular matrix (ECM), extracellular molecules, cancerous cells and other host cells to create a tumor–permissive microenvironment able to provide continuous support for tumor growth,progressio n,angiogenesis,invasion,and metastasis <sup>[7]</sup>. Tumourstroma is thought to promote tumourigenesis by different mechanisms including remodelling of the extracellular matrix, suppression of immune reaction and damages in stromal regulatory pathways affecting the motility and aggressiveness of cancer cells <sup>[8]</sup>.

Recently,tumourstroma emerges fastly as a significant prognostic indicator in patients with colorectal cancers <sup>[9],</sup> lung cancer <sup>[10],</sup> prostate cancer and stomach cancer <sup>[11],</sup> Also, the tumor–stroma ratio (TSR) has been recently reported to have prognostic value in patients with triple negative cancer for which studies were largely focused<sup>[12, 13–14].</sup>

The purpose of this study was to determine the relationship between the amount of tumour—associated stroma and traditional prognostic factors in luminal early breast cancer in a Moroccan population.

#### **Materials & Methods**

#### Study design

This is a retrospective study over a period of one year (from 1 January 2019 to 31 December 2019) including patients with primary operable invasive breast cancer of no special type at the medical oncology department of the military hospital in Rabat in Morocco. Expression of oestrogen (ER), progesterone (PR) and human epidermal growth factor receptor 2 gene (HER2) were pre–determined by immunohistochemistry on formalin–fixed paraffin– embedded tumour material according to standard diagnostic procedure. Estrogen and /or progesterone receptors must be equal or more than 10% positive cells. HER–2/neu testing should be scored 0, 1+ or 2+ without gene amplification in fluorescence in situ hybridization.

Radiological staging including chest x-rays, liver ultrasound, bone scintigraphy or chest-abdomen-pelvis computed tomography should be normal.Patients should not have a history of breast cancer; they should not receive any neoadjuvant therapy.

#### Data collection

Data on clinical and histological features were collected using the clinical files in all cases with determination of the age,tumour size, lymph nodes status ,Scarff–Bloom– Richardson (SBR) grading, the extent of lymphovascular invasion, Ki67, stage of disease and type of adjuvant systemic therapy.

The tumor size is classified in pT1 (the tumor is 2 cm or less across in greatest dimension), pT2 (the tumor is larger than 2 cm but less than 5 cm across) or pT3 (the tumor is larger than 5 cm across but does not grow into the chest wall or skin). Lymph node invasion is classified as pN0 (no regional lymph node metastasis identified histologically), pN1 (micrometastasesor metastases in one-three axillary lymph nodes), pN2 (metastases in four-nine axillary lymph nodes) or pN3 (metastases in ten or more axillary lymph nodes).Tumors are graded as I, II, or III according to SBR grading. A 20 % Ki67 cut-off was admitted to define high proliferation.

Staging is done according to the TNM classification in stage I, stage II or stage III <sup>[15].</sup> Patients were also assigned into two groups according to type of adjuvant systemic therapy (chemotherapy and/or hormonal therapy).

#### Measurement of stromal density

The histological sections are made from the paraffin blocks of the tumor taken from the mastectomy surgical specimens and are stained with Hematéine-Eosine (H.E.). All histopathological specimens were evaluated independently by two pathologists. The amount of stroma was quantified using a 50x objective lens to select the most invasive part of the tumour, then the 100x objective lens was used to score. The fields that we rescored are the ones where both stroma and tumour cells were present, tumour cells had to be seen on all sides of the microscopic image field. The tumor-stroma ratio was visually estimated in a blinded manner and scored per tenfold percentage (10, 20, 30% etc.). In case of tumourheterogeneity, those areas with the highest stromal percentage were decisive. Patients with more than 50% intra-tumor stroma were quantified as stroma-high and patients with less than 50% as stromalow.

#### Statistical analysis

All analyses were performed with SPSS 18 software. The number of patients and the corresponding percentages were given for categorical variables, mean  $\pm$  standard deviation were reported to describe the normally distributed continuous variables, and medians with interquartile ranges were reported for continuous variables with skewed distributions. The Kolmogorov–Smirnov test was performed on all measures to assess data normality. Chi–square or Fisher's exact test were used to compare the categorical variables as appropriate. Means were compared using the Student's t–test and medians were compared using the Mann whitney test. Univariate logistic regression analysis was conducted to compare several toxicities between the original drug and generics. A p value < 0.05 was considered statistically significant.

#### Results

Fifty-three consecutive luminal breast cancer patients were selected for this study. The mean age of patients at the time of surgery was 49years (range 23–68). Lymphovascularinvasion (LVI) were present in 39.6% of cases. The tumors were T2 in 43.4% of cases and had grade II SBR in 55.3%. The absence of lymph node invasion (N0) was seen in 49.1% and stage II was the most represented (44%). The median of Ki67 was 20 (range 6–24) and a total of 59% had luminal B disease. The table 1 shows clinicopathological characteristics of patients.

22 (41.5%) patients had low stroma (<50% stroma) (figure1, 2–3) and 31 (58.5%) patients had high stroma ( $\geq$ 50% stroma) (figure 4).

High stromal content was significantly associated



Figure 1. Haematoxylin and eosin–stained sections of invasive ductal breast tumours : Tumour with low stroma (1%). Magnification x100 objective



Figure 2. Haematoxylin and eosin-stained sections of invasive ductal breast tumours :Tumour with low stroma (10%) .Magnification x100 objective

with more stage III (p=0.041), more LVI (0.034),high Ki–67 proliferative index (p=0.002) and more luminal B disease (p=0.001). Also, tumors with high stroma received more adjuvant chemotherapy (p=0.005). The results are maintained in univariate analysis (table 3).

Furthermore, low stromal content received more exclusive hormonotherapy (p=0.005).



Figure 3. Haematoxylin and eosin-stained sections of invasive ductal breast tumours: Tumour with low stroma (30%). Magnificatiomx100 objective S: stroma T: tumor



Figure 4. Haematoxylin and eosin-stained sections of invasive ductal breast tumours: tumour with high stroma (70%). Magnification x100 objective S: stroma T: tumor

Number of patients	53
Age*	49,31 ± 9
Ki 67 <sup>§</sup>	19 [6–24]
LVI	
+	39.6%
_	60.4%
SBR	
I	21.3%
II	
55.3%	
Ш	23.4%
Т	
T1	30.2%
T2	43.4%
T3	26.4%
Ν	
NO	49.1%
N1	22.6%
N2	15.1%
N3	13.2%
Stage	21%
ll	44%
III	35%
Subtype	
Luminal A	41%
Luminal B	59%

## Table 1. The clinicopathological characteristics of patients with early breast cancer \* means ± standard deviation §median [interquartile range]

	Low stroma< 50% (n= 22)	High stroma ≥ 50% (n= 31)	Р
Age (ans) M ± ET	46,8 ± 9,3	50,9 ± 8,8	0,15
Stage III	18,2%	45,2%	0,041
LVI	22,7%	51,6%	0,034
Ki 67 M(IQ)	8 (5 ; 19,25)	20 (12 ; 30)	0,002
Luminal B	31,8%	77,4%	0,001
Adjuvant chemotherapy	31,8%	71%	0,005
Exclusive hormonotherapy	62,7%	37,5%	0,005

 
 Table 2. The inter-relationship between clinicopathological characteristics and tumourstroma percentage
 LVI: lymphovascular invasion

Tumor–Stroma Ratio, Choukri ELMHADI, et. al.

	Low stroma< 50% (n= 22)	High stroma $\geq$ 50% (n= 31)	Р
Age (ans) $M \pm ET$	$46,8 \pm 9,3$	$50,9 \pm 8,8$	0,15
Stage III	18,2%	45,2%	0,034
LVI	22,7%	51,6%	0,001
Ki 67 M(IQ)	8 (5 ; 19,25)	20 (12 ; 30)	0,005
Luminal B	31,8%	77,4%	0,001
Adjuvant chemotherapy	31,8%	71%	0,005
Exclusive hormonotherapy	62,7%	37,5%	0,005

 Table 3 .The inter-relationship between clinicopathological characteristics and tumourstroma percentage: univariate analysis LVI: lymphovascular invasion

#### Discussion

Our study shows that in luminal early breast cancer, tumors with high stroma are consistently associated with a high tumor volume with more stage III and commonness of LVI. The median of Ki67 was 20 suggesting highly proliferating tumors. Also, patients with high stromal content often received adjuvant chemotherapy. This data indicate that the TSR can be used in combination with other prognostics factors to guide decisions on adjuvant systemic therapy. Many investigations support the notion that tumor stroma plays a major role in tumor initiation, progression and dissemination based on the assumption that tumors are composed of tumor parenchyma and stroma two distinct but interactive parts that crosstalk to promote tumor growth <sup>[16].</sup>

In breast cancer, attention has initially focused on the "quality" of the of tumour-associated stroma (the composition of the tumor stroma). Cancer-associated fibroblasts have been demonstrated to serve a crucial role in cancer pathogenesis and therapeutic resistance through paracrine factors and/or direct cell-cell crosstalk [17]. Endothelial cells are involved in angiogenic switch which might lead to the vascularization of the growing tumor [18]. Cancer-associated adipocytes are able to cause a modification in the phenotype of cancer cells, and, as such, favor metastasis behavior and this contribution in breast cancer progression may elucidate why obesity is a negative prognosis factor [19]. Tumourinfiltrating lymphocytes reflect the intensity and the guality of the immune reaction to breast cancer. Their density and phenotypic profile have been demonstrated to be predictive of response to neoadjuvant treatment and of patient outcome and could be used as biomarker with the potential to predictresponse to immunotherapy <sup>[20]</sup>. All of these elements are embedded in an extracellular matrix, involved in the generation of a significant drug concentration gradient, metabolic changes, and increased interstitial fluid pressure, all of which can

significantly enhance the resistance of tumor cells to chemotherapeutics <sup>[21].</sup>

Recently attention has focused on the potential prognostic value of TSR in different types of cancer. Current literature describes conflicting results, especially in breast, and seemingly dependent on hormone status.

In the first study published by De Kruijf et al, TSR was identified as an independent prognostic factor for relapsefree period in 574 breast cancer patients without distant metastasis (pT1-4, pN0-3, M0<sup>[14].</sup> Stroma-rich tumors had a shorter relapse-free period and overall survival compared to stroma-poor tumors for all subgroups [14]. Other series support this data. Dekker et al have highlighted TSR as an independent prognostic parameter for diseasefree survival (DFS) in favour of stroma-low tumours, in 403 premenopausal node-negative breast cancer patients and limit statistical significance for OS [22]. In the Gujam et al study, stroma-high tumours defined as more than 30% is correlated with a poor 15-year cancer-specific survival in multivariate survival analysis in 361 patients with invasive carcinoma of no special type (NST) (T1-3, N0->3, grade I-III,) <sup>[23]</sup>. Moreover, in 737 patients with primary operable invasive breast cancer, Roeke et al. corroborate that a high stromal content was a prognostic factor for short OS. distant metastasis-free survival (DMFS) and RFS<sup>[24].</sup>

Subgroup analysis of these trials confirms the prognostic value of TSR in triple negative tumors in two of the four studies previously cited <sup>[14–22].</sup> In the Kruijf study, in the 81 patients with triple–negative breast cancer, tumors with high stroma had a significantly shorter overall survival and relapse–free period compared to those with low stroma. The 5–year RFP were 56% versus 81%, respectively <sup>[14].</sup> 69 patients were diagnosed with TNBC in the cohort of Dekker, again Stroma–high tumours had a 2.71 greater risk of developing a recurrence compared to patients with stroma–low tumours <sup>[22].</sup> These results do not show up in the two cohorts of Gujam and Roeke probably

because of reduced number of stroma-high tumours in the TNBC subgroup [23-24]. Although the Moorman cohort, dedicated exclusively to women with TNBC independently of the tumor size, lymphatic invasion or the SBR grade, attests after a multivariate analysis the prognostic value of TSR for both of RFS and OS to the disadvantage of patients with stroma-high tumours with 45% and 65% five-year RFS and OS respectively <sup>[12]</sup>. Following thèse five studies. we can conclude with some confidence that the amount of the stroma can be used to predict disease progression and patient prognosis especially in TNBC [25]. These data are weighted with the molecular heterogeneity of TNBC and the vast differences in stromal composition of these tumours [26]. Thus, predominantly lymphocytic stroma type might have a favourable prognosis compared to fibroblast or collagen type<sup>[27].</sup> Considering aggressive nature of TNBC, implication of TSR to predict the efficacy of adjuvant chemotherapy would be unlikely. Further research should investigate the potential value of this ratio in predicting the efficacy of neoadjuvant chemotherapy.

The RH + HER2– subgroup remains the most common subtype of breast cancer, again the major challenge is the identification of the patients subgroups that will derive clinical benefit from therapeutic. According to the American Society of Clinical Oncology Clinical Practice guideline, many prognostic gene expression signatures are currently validated into routine clinical practice in order to reduce the administration of adjuvant chemotherapy and prevent overtreatment. However, their cost limits their introduction into care programs in developing countries like our country <sup>[28].</sup> Also, the stromal component known as an important factor affecting the natural history of tumour is not taken into account these molecular <sup>[16].</sup>

In addition, another study of ER–positive breast cancers demonstrated that a high TSR was related to better survival across genders in ER–positive disease <sup>[23].</sup> These results contradictory with data in triple–negative breast cancer, and own work on ER–positive cases in two studies <sup>[14–24]</sup> can be explained by several elements: first the inclusion of patients of both sexes, then the threshold of positivity of the hormonal receptors which is not defined (1% or 10%) and finally the course of this study between 1988 And 2005 when the determination of the status of HER2 was not a standard.

Despite the prognostic value of HER2 in breast cancer  $^{\rm [25].}$  There are no studies on the evaluation of TSR in this molecular subtype. However, patients with high TSR had more Her-2-positive tumours  $^{\rm [22].}$ 

In the stroma-high group statistically significant more patients had a large tumor volume recognized as an unfavorable prognostic factor and often associated with the prescription of adjuvant chemotherapy. These results are consistent with those objectified in other series suggesting potential interactions between high stroma, tumor size and lymph node invasion <sup>[12–13].</sup> Assessing the TSR on tumor–positive lymph nodes can provide additional prognostic information for patients with node–negative disease or with one–three invaded nodes in order to refine the indications for adjuvant chemotherapy in this subgroup of patients and to be able to supplant the genomic signatures in this case <sup>[22,29–5].</sup> Also, the evaluation of this ratio in the lymph nodes in combination with that of the mammary tumor could bring strong additional prognostic information <sup>[29].</sup>

More recently Ki67 determination is principally used for estimation of prognosis and guiding the decision on adjuvant treatment choice, also for prediction of response to neoadjuvant treatment in ER+/HER2– breast cancer <sup>[30].</sup> Our study demonstrates that a high stroma tumor was associated with high Ki–67 expression suggesting that a combined analysis of these two parameters would help in the choice of adjuvant treatments.

The present study has some methodological limitations. One aspect concerns the small sample and the retrospective nature of the study. In addition, the determination of the tumor stroma ratio is highly pathologist—dependent. Also, cutoff at 50% was not adopted by all studies. These factors should be considered in future studies.

#### Conclusions

TSR can be used as a tool for decision support in ER+/HER2– Breast Cancer treatment. It is easy to determine, reproduce, and it is quickly performed. This parameter requires a homogenization of the techniques used and a standardization of its cutoff as well as a prospective evaluation notably in a neoadjuvant situation to be able to serve as a surrogate marker for conventional clinicopathological factors.

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#### **Conflict of Interest**

The authors declare that they have no competing interests

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